PTO/SB/08A (08-00)



Su	Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known				
04				Application Number	10/628,136			
II				Filing Date	July 28, 2003			
S				First Named Inventor	Ben HITT			
				Group Art Unit	1631			
				Examiner Name				
Sheet	1	of	3	Attorney Docket Number	CORR-004/02US			

		U.S. Patent	Document		Date of Publication of Cited	
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY	
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Examiner	Cite	. I FOICIGII FAICIII DOCUIIICIII I				Date of Publication		
Initials*	No.'	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited	of Cited Document MM-DD-YYYY	T <sup>6</sup>	
	11		WO 00/55628	Al	Oxford Glycosciences	09-21-2000		
	12		WO 99/58972	Al	Tsipouras, Petros	11-18-1999		
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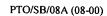
Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

Unique citation designation number.
 See attached Kinds of U.S. Patent Documents.
 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.
 Applicant is to place a check mark here if English language Translation is attached.

<sup>186861</sup> v1/RE





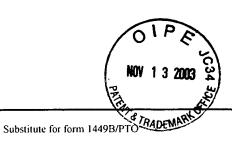
			<del></del>	Complete if Known				
Substitute for form 1449B/PTO				)	Application Number	10/628,136		
					Filing Date	July 28, 2003		
I	INFORMATION DISCLOSURE				First Named Inventor	Ben HITT		
l S	STATEMENT BY APPLICANT  (use as many sheets as necessary)			PPLICANT	Group Art Unit	1631		
					Examiner Name			
	(us	e as many si	neets as	necessary)				
Sheet		2	Of	3	Attorney Docket Number	CORR-004/02US		

	1 20	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	T <sup>2</sup>
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
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Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.
 Applicant is to place a check mark here if English language Translation attached.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 3 Of 3

Complete if Known					
Application Number	10/628,136				
Filing Date	July 28, 2003				
First Named Inventor	Ben HITT				
Group Art Unit	1631				
Examiner Name					
Attorney Docket Number	CORR-004/02US				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>2</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country	T <sup>2</sup>			
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		Control, and Artificial intelligence (NITT 11633 2001), pp. 1-31, 09-120.				

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	re app	licatior	ı of	Ben	Α.	HIT	T	et	al	
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Serial No.:

10/628,136

Examiner:

Unassigned

Confirmation No.: 4523

Art Unit:

1631

Filed:

July 28, 2003

For:

QUALITY ASSURANCE/QUALITY CONTROL FOR ELECTROSPRAY

**IONIZATION PROCESSES** 

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Missing Parts Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[x]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
[]	Check No in the amount of \$ for the total fee is attached.
[]	A return receipt postcard is also enclosed.
	Please charge \$ to Deposit Account No.50-1283 for the total fee. This paper is being submitted in duplicate.

1

## Attorney Docket No. CORR-004/02US Serial No. 10/628,136 Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: 11/13/03

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656

Tel: (703) 456-8000 Fax: (703) 456-8100 Respectfully submitted,

COOLEY GODWARD LLP

By:

Randy V. Sabett Reg. No. 43,074



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Ben A. HITT et al.

Serial No.:

10/628,136

Examiner:

Unassigned

Confirmation No.: 4523

Art Unit:

1631

Filed:

July 28, 2003

For:

**QUALITY ASSURANCE/QUALITY CONTROL FOR ELECTROSPRAY** 

**IONIZATION PROCESSES** 

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Missing Parts Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

### INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached  $\prod$ Form PTO/SB/08 is enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- No copies of any U.S. patents or U.S. patent application publications listed [x]on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- Publication(s) listed on the attached Form PTO/SB/08A were cited in a  $\Pi$ foreign search or examination report corresponding to \_\_\_ application serial no. and mailed on .

[]	of the	ed is a copy of a non-English publication(s) Pursuant to §609 M.P.E.P., Applicant submits the attached foreign search or nation report, which cites such non-English language publication(s).	
[]		ed is a copy of a non-English publication(s) English language ation (copy enclosed) claims priority from this non-English ation.	
0		nclosed is an explanation of non-English publication(s) for which an nglish translation is not available.	
		closed is an English translation of non-English publication(s)cited the attached Form PTO/SB/08A.	
[x]	Enclos	ed are copies of the following copending U.S. Patent Applications:	
	U.S. Patent Application Serial No. <b>09/883,196</b> , filed June 19, 2001, entitled "Heuristic Method of Classification" (Attorney Docket No. CORR-001/01US);		
	U.S. Patent Application Serial No. <b>09/906,661</b> , filed July 18, 2001, entitled "A Process for Discriminating Between Biological States Based on Hidden Patterns from Biological Data" (Attorney Docket No. CORR-002/04US);		
	U.S. Patent Application Serial No. 10/628,135, filed July 28, 2003, entitled "Quality Assurance for High-Throughput Bioassay Methods" (Attorney Docket No. CORR-003/01US); and		
	U.S. Patent Application Serial No. 10/628,137, filed July 28, 2003, entitled "Quality Assurance/Quality Control for High Throughput Bioassay Process" (Attorney Docket No. CORR-004/01US).		
This Ir time periods:	nformati	ion Disclosure Statement is filed within any one of the following	
	[]	within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);	
		within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;	
	[x]	before the mailing date of a first office action on the merits; or	
		before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.	

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated:

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656

Tel: (703) 456-8000 Fax: (703) 456-8100

187328 v1/RE 40JK01!.DOC Respectfully submitted, COOLEY GODWARD LLP

By:

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